

Resource Royalties Economic Development Assistance Program 2014-2015



Posted on September 12, 2014

In 2013/14 the management of this program was transferred to the Dehcho Regional Corporation, formerly known as the Dehcho Economic Corporation.

The Dehcho Regional Corporation (DRC) is now accepting applications for economic development funding assistance (under the Dehcho Interim Resource Development Agreement (IRDA). The Agreement signed in April 2003 provides that the Dehcho First Nations (DFN) will receive a share of federal royalties from resource development in the Mackenzie Valley prior to a final agreement.

The DRC Board would like to advise all future applicants that enhancements to the existing Dehcho IRDA program are underway and change is taking place in an effort to continue to improve program accountability and transparency. **The application deadline for the 2014/15 intake is November 28, 2014.**

Criteria: Funds can be accessed for economic development projects such as:

- Business Creation or Expansion
- Business Relief
- Business Skills
- Capital Improvements to Sustain Equity
- Commercial Fishery Assistance
- Community Initiatives
- Community Market Garden Development Program
- Forest Industry Assistance
- Increase Participation in Resource Development: assisting with Negotiations for Benefit Agreements and Joint Ventures
- Market Development and Product Promotion
- Pilot and Demonstration Projects
- Planning and Development
- Traditional Arts and Fine Crafts Raw Materials
- Other

Eligibility of Applicants:

The following individuals, businesses and organizations are eligible to apply for funding assistance:

- An individual applicant must be a member of the Dehcho First Nations, a Dehcho First Nation organization or be in partnership with. Support documentation for this can be a simple letter from the Chief or other Dehcho official indicating that you are member of the Dehcho First Nations.
- 2. A business applicant must be:
 - A business owned by a member of the Dehcho First Nations, or
 - A business partnership where 50% or more of the ownership is by a member or members of the Dehcho First Nations
 - The 50% or more of the shares of a business corporation are held by a member or members of the Dehcho First Nations
- 3. A not-for-profit community organization whose project is supporting or creating an economic development opportunity in the Dehcho region.

A **Business plan is** required in order to access the 2014-2015 Royalties funding. Guidelines are set out in Appendix C of the Interim Resource Development Agreement (IRDA). Criteria, instructions and forms to apply for DFN resource royalties are available on the Dehcho First Nations website: http://www.dehcho.org or by contacting the Dehcho Regional Corporation at the contact information below.

Please forward your application to the Dehcho Regional Corporation to the attention of:

Misty Ireland, A/General Manager, Dehcho Regional Corporation #11 Caribou Crescent, Hay River, NT X0E 0R8 Ph: (867)874-3232 | Fax: (867)874-2024

Email: misty_ireland@hotmail.com



Dehcho First Nations



Resource Royalties **Economic Development Assistance Program Application Instruction Package**

- 1. Read these instructions before you fill in application forms or start your project. If you have questions or need help please contact one of the people listed at the end of these instructions.
- 2. Make sure that you have the following documents:
 - Application Instructions (this document)
 - **Application Form**
 - **Business Plan Checklist** (A completed business plan, marketing plan, or project description which provides details of your project)
 - Other relevant supporting documents see application form
- 3. Check the main application form to see if both you and the project are eligible for funding assistance and, as well as, to understand what supporting documentation is required.
- 4. Take some time to develop the necessary supporting documentation such as a business plan. Some of this may be obtained quickly and easily in a week or so. Other documentation may take you several months to prepare or have someone else help prepare. If you don't know how to prepare support documentation or don't know who can help you check the "Resources" at the end of these instructions. There may be some cost to prepare a business plan, a feasibility study or other documentation. Make sure you know what the costs will be and who will pay for this before you make arrangements for someone to help you.
- 5. Complete the application form and checklist. Make sure that they are signed and dated.
- 6. Hand deliver, mail, fax or email the two forms and all supporting documents to:

Acting General Manager, Dehcho Regional Corporation #11 Caribou Crescent Hay River, NT, CANADA X0E 0R8

Tel: (867) 874-3232 Fax: (867) 874-2024

Email: misty_ireland@hotmail.com

7. Do not start any projects that depend upon funding assistance from this program until you have received formal written notice that you have been approved for funding assistance by a letter, fax or email.

Eligibility of Applicants:

The following individuals, businesses and organizations are eligible to apply for funding assistance:

- An individual applicant must be a member of the Dehcho First Nations, a Dehcho
 First Nation organization or be in partnership with. Support documentation for this can
 be a simple letter from the Chief or other Dehcho official indicating that you are
 member of the Dehcho First Nations.
- An a business applicant must be:
 - A business owned by a member of the Dehcho First Nations, or
 - A business partnership where 50% or more of the ownership is by a member or members of the Dehcho First Nations
 - The 50% or more of the shares of a business corporation are held by a member or members of the Dehcho First Nations
- A not-for-profit community organization whose project is supporting or creating an economic development opportunity in the Dehcho region.

Eligible Categories:

Applications must demonstrate that funding assistance will be used in one or more of the following types of categories. Check off the appropriate category of your application form.

- Business Creation or Expansion
- Business Relief
- Business Skills
- Capital Improvements to Sustain Equity
- Commercial Fishery Assistance
- Community Initiatives
- Community Market Garden Development Program
- Forest Industry Assistance
- Increase Participation in Resource Development: assisting with Negotiations for Benefit Agreements and Joint Ventures
- Market Development and Product Promotion
- Pilot and Demonstration Projects
- Planning and Development
- Traditional Arts and Fine Crafts Raw Materials
- Other

Eligible Projects:

In order for projects to be funded, the applications must show:

- <u>Satisfactory Management Capacity</u> This means that the person or organization has
 the ability to develop, operate or complete the project as described in the application.
 Documentation to demonstrate "capacity" can include resumes, letters of reference or
 other documents included in a business plan, a project plan or a feasibility study
- The Intended Impact on the Client Group or Community This means that the project or business activity will have economic benefits for a Dehcho community or communities, either for an individual who has applied for funding assistance, for employees, or for a group of people in the community. These anticipated benefits should be described in the application forms and in the support documents prepared for the application.
- <u>Compliance with the Program Objectives</u> This means that funds will be spent on projects that provide economic development benefits for people in the Dehcho region and the money will be managed carefully. The business plan or project plan should show how the funds will be spent and managed in compliance with the program objectives.
- Proposed Results that are Achievable. This means that if funding assistance is provided, the proposed project (business, training, community initiative, etc.) is realistic and has a good chance of succeeding and providing planned benefits. This can be demonstrated by appropriate supporting documents such as a business plan, marketing plan, project plan resumes and references.

Submitting Application:

- Applications may be submitted any time after the advertisements for the funding assistance program has be posted.
- Applications will not be accepted after the posted deadline of November 28, 2014.
- Please submit your application well before the deadline to give the evaluators plenty
 of time to review each application.

Review of Application:

- The applications will be received by the Dehcho Regional Corporation office.
- The application will be reviewed to ensure the eligibility criteria are met.
- The staff will advise the applicant within 30 days whether or not the application meets the eligibility criteria.
- If the application meets all eligibility criteria, the application package and supporting
 documentation will be prepared for the Dehcho Regional Corporation Board of
 Directors, sitting as the Resource Royalties Economic Development Committee, to
 review when it meets to evaluate all applications at the same time.
- All applications will be reviewed by the Resources Royalties Economic Development Committee and the Dehcho First Nations Leadership.
- The Resource Royalties Economic Development will allocate funding assistance according to the Guidelines of the Agreement
- Priority will be given to applicants who have not received funding assistance in the past.
- Eligible applicants who do not receive assistance may be eligible to resubmit an application the following year.

Responsibilities of Successful Applicants:

Applicants who receive funding assistance will be required to:

- spend the money according to their application and business/project plan
- keep financial records to show the money was spent according to their plan
- provide a progress on the project report by letter or a copy of a report from another funding agency as well as receipts for material and services completed to date
- provide information on the project if requested by a representative of the Resource Royalties Economic Development Committee (Dehcho Regional Corporation)

For information on the application process contact:

1. Executive Director of the Dehcho First Nations

PO Box 89

Fort Simpson, NT, CANADA

X0E 0N0

Tel: (867) 695-2355 Fax: (867) 695-2038

CALL TOLL FREE: 1-866-995-3748

2. Acting General Manager, Dehcho Regional Corporation

#11 Caribou Crescent Hay River, NT, CANADA

X0E 0R8

Tel: (867) 874-3232 Fax: (867) 874-2024

Email: misty_ireland@hotmail.com

For assistance or information in the preparation of business plans, project plans, feasibility studies contact one of the following businesses/organizations:

- 1. Dehcho Business Development Centre http://www.dehchobdc.ca
- 2. Northwest Territories Business Development and Investment Corporation (BDIC) http://www.bdic.ca/canada-business-nwt/start-a-business/chapter-4-developing-a-business-plan/
- 3. Business Development Bank of Canada (BDC) http://www.bdc.ca/en/advice-centre/tools/business-plan/
- 4. The Canadian Youth Business Foundation (CYBF)

 http://www.cybf.ca/cybf_resources/starting-my-business-plan/business-plan-writer/
- 5. http://www.Dehcho.org/home.htm



DEHCHO FIRST NATIONS

Box 89, Fort Simpson, N.W.T. X0E 0N0 TEL: (867) 695-2355/2610 FAX: (867) 695-2038 Toll Free: 1-866-995-3748

EMAIL: <u>executivedirector@dehcho.org</u>



Resource Royalties Economic Development Assistance Program Application Form

| Name: |
|---|
| Business Name or Organization Name (if different from individual): |
| |
| Member of Dehcho First Nations: Yes No |
| Supporting letter/document is attached |
| Name of applicant's First Nation community: |
| |
| Community/communities that will benefit from economic development proposal: |
| |
| Contact Information |
| Name: |
| Title/Position: |
| Mailing Address: |
| Email Address: |
| Work Telephone: |
| Home Telephone: |
| Cell Phone: |
| Fax: |
| |

| Category of Economic Development (Check the category that best desc and go to appropriate section) | cribes your project |
|--|------------------------|
| Business Creation or Expansion (go to #1 on page 4) | |
| Business Relief (go to #2 on page 4) | |
| Business Skills (go to #3 on page 5) | |
| Capital Improvements to Sustain Equity (go to #4 on page 5) | |
| Commercial Fishery Assistance (go to #5 on page 6) | |
| Community Initiatives (go to #6 on page 6) | |
| Community Market Garden Development Program (go to #7 on page | ge 7) |
| Forest Industry Assistance (go to #8 on page 7) | |
| Increase Participation in Resource Development: assisting with Ne Agreements and Joint Ventures (go to #9 on page 8) | gotiations for Benefit |
| Market Development and Product Promotion (go to #10 on page 9) |) |
| Pilot Project or Demonstration Project (go to #11 on page 9) | |
| Planning and Development (go to #12 on page 10) | |
| Traditional Arts and Fine Crafts – Raw Materials (go to #13 on pag | e 11) |
| Other (please describe below and go to #14 on page 11) | |
| | |
| Total Funds requested \$ | |
| Sources of funds for this project: (applicant, bank, Dehcho funding assi | stance, other) |
| Amount \$ Source | |
| \$ | |
| \$ | |
| \$ \$ | |
| | |

| How funds will be used: | | |
|--|--|--|
| Working Capital | \$ | _ |
| Equipment | \$ | |
| Buildings | \$ | |
| Professional Services | \$ | |
| Tuition | \$ | |
| Course materials | \$ | |
| Transportation | \$ | |
| Accommodation | \$ | |
| Legal services | \$ | |
| Consulting services | \$ | |
| Workshops and training | \$ | |
| Travel and accommodations | \$ | |
| Technical/environmental studies | \$ | |
| Community consultations | \$ | |
| Other | \$ | |
| Has this project or the applicant No Yes (if yes please describe | | Royalties funding in the past? |
| I have personal knowledge of the | e matters discussed in this | s application, and state that: |
| To the best of my knowledge I understand that if my application withhold 10% of funds until a representative of the DFN; I agree to let representatives described in this application credit checks and to obtain a the resultant project; and | e, all documents related to to cation is approved, the Dehoall required documents or approved to the DFN access to the solution, to inspect books, accounts any other information neces cientiously believing it to be | his application are true and correct; cho First Nations (DFN) may oprovals have been accepted by a site and premises of the project records, to make inquiries and sary to evaluate this application or true and knowing that it is of the |
| Signature: | | Date: |

Categories of Economic Development

1. Business Creation or Expansion **Business Creation Business Expansion** Description of project: (Also explain how this will help create or expand economic development opportunities. If you need more room attached separate pages) Planned starting date: Planned completion date: Person who will be starting or expanding the business: Supporting documentation: (check all that part of this application) Feasibility Study **Business Plan** Financial Statements (if application is for business expansion) Resume of key person Other Return to page 2 of the form. Make sure that it is complete then sign it. 2. Business Relief Description of project: (Explain why this project needs funding assistance and how it will help the business. If you need more room attached separate pages) Planned starting date: Planned completion date: Person who will be overseeing this project: Supporting documentation: (check all that part of this application) Detailed project proposal Budget Sheet: expenses and sources of funds **Financial Statements** Resume of person overseeing this project Other Return to page 2 of the form. Make sure that it is complete then sign it.

3. Business Skills

| · · · · · · · · · · · · · · · · · · · | (Also explain how this will help create or expand es. If you need more room attached separate pages) |
|---|--|
| Name/s of people acquiring busine | ess skills: |
| Planned starting date: | Planned completion date: |
| Skills Development Costs | |
| Tuition: \$ | |
| Transportation: \$ | |
| Accommodation: \$ | |
| Course materials: \$ | |
| Other: \$ | |
| Total: \$ | |
| Supporting documentation: (check Course Outline Other documents explaining th Letters of support if the applica Other | |
| Return to page 2 of the form. Make | e sure that it is complete then sign it. |
| 4. Capital Improvements to Sus | stain Equity |
| | y this project needs capital assistance and how it will bu need more room attached separate pages) |
| Planned starting date: | Planned completion date: |
| Person who will be overseeing this | project: |
| Supporting documentation: (check Project Budget Financial Statements Business Plan Resume of person overseeing Other | |
| Return to page 2 of the form. Make | e sure that it is complete then sign it. |

5. Commercial Fishery Assistance

| Planned starting date: Person who will be overseeing this project: Supporting documentation: (check all that are part of this application) Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for an existing business) Resume of person overseeing this project Other Return to page 2 of the form. Make sure that it is complete then sign it. |
|---|
| Supporting documentation: (check all that are part of this application) Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for an existing business) Resume of person overseeing this project Other |
| Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for an existing business) Resume of person overseeing this project Other |
| 6. Community Initiatives Description of the project: (Also explain how this will help create or expand economic development opportunities. If you need more room attached separate pages) |
| |
| Planned starting date: Planned completion date: |
| Person who will be overseeing this project: |
| Supporting documentation: (check all that are part of this application) Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for an existing operation) Letters of support if the applicant is a not-for-profit organization Resume of person overseeing this project |
| Other Return to page 2 of the form. Make sure that it is complete then sign it. |

7. Community Market Garden Development Program

| Description of the project: (Also explain how to development opportunities. If you need more | · · · · · · · · · · · · · · · · · · · |
|---|--|
| Planned starting date: | Planned completion date: |
| Person who will be overseeing this project: | |
| Supporting documentation: (check all that are Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for Letters of support if the applicant is a not Resume of person overseeing this projec Other Return to page 2 of the form. Make sure that i | an existing operation) for-profit organization t |
| Description of the project: (Also explain how to development opportunities. If you need more | · |
| Planned starting date: | Planned completion date: |
| Person who will be overseeing this project: | |
| Supporting documentation: (check all that are Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for Letters of support if the applicant is a not Resume of person overseeing this project Other | an existing operation) for-profit organization t |
| Return to page 2 of the form. Make sure that i | t is complete then sign it. |

9. Increase Participation in Resource Development: assisting with Negotiations for Benefit Agreements and Joint Ventures

| Description of Activities: (Also explain how this will help create or expand economic development opportunities. If you need more room attached separate pages) |
|---|
| Activities will include: |
| Legal services |
| Consulting services |
| Workshops and training |
| Travel and accommodations |
| Technical/environmental studies |
| Community consultations |
| Other |
| Planned starting date: Planned completion date: |
| |
| Person who will be overseeing this project: |
| Project Plan |
| Project Budget |
| Financial Statements (if application is for an existing operation) |
| Letters of support if the applicant is a not-for-profit organization |
| Resume of person overseeing this project |
| Other |
| Return to page 2 of the form. Make sure that it is complete then sign it. |

10. Market Development and Product Promotion

| Description of the project: (Also explain how this will help create or expand economic development opportunities. If you need more room attached separate pages) |
|--|
| Planned starting date: Planned completion date: |
| Person who will be overseeing this project: |
| Supporting documentation: (check all that are part of this application) |
| Marketing Plan |
| Business Plan |
| Project Budget |
| Financial Statements (if application is for an existing operation) |
| Letters of support if the applicant is a not-for-profit organization |
| Resume of person overseeing this project Other |
| Return to page 2 of the form. Make sure that it is complete then sign it. |
| 11. Pilot Project or Demonstration Project Pilot Project |
| Demonstration Project |
| <u> </u> |
| Description of the project: (Also explain how this will help create or expand economic development opportunities. If you need more room attached separate pages) |
| Why this is a unique pilot project or a demonstration project: (if you need more room attached separate pages) |
| Planned starting date: Planned completion date: |
| Person who will oversee this project: |
| Supporting documentation: (check all that are part of this application) |
| Business Plan |
| Project Budget |
| Financial Statements (if application is for an existing operation) |
| Letters of support if the applicant is a not-for-profit organization |
| Resume of person overseeing this project Other |
| Return to page 2 of the form. Make sure that it is complete then sign it. |

12. Planning and Development

| 12:1 laming and bevelopment |
|---|
| Type of Project: Feasibility Study Opportunity Assessment Technical Assessment Business Plan Strategic Plan Building Design Other type of Development Planning |
| Description of project: (Also explain how this will help create or expand economic development opportunities. If you need more room attached separate pages) |
| Planned starting date: Planned completion date: |
| Person or company who will undertake the planning and development or prepare the study or plan: |
| Supporting documentation: (check all that part of this application) Detailed project proposal if available Budget Sheet: expenses and sources of funds Financial Statements (if application is for an existing business) Terms of Reference for consultant/contractor Letter of engagement between applicant and contractor Letters of support if the applicant is a not-for-profit organization Resume of person overseeing this project Other |
| Return to page 2 of the form. Make sure that it is complete then sign it. |

13. Traditional Arts and Fine Crafts – Raw Materials

| Description of the project: (Also explain how development opportunities. If you need more | · · · · · · · · · · · · · · · · · · · |
|---|--|
| Planned starting date: | Planned completion date: |
| Person who will be overseeing this project: | |
| Supporting documentation: (check all that are Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for Letters of support if the applicant is a nor Resume of person overseeing this project Other Return to page 2 of the form. Make sure that 14. Other Type of Project Description of project: (Also explain how this development opportunities. If you need more | r an existing operation) t-for-profit organization ct it is complete then sign it. will help create or expand economic |
| Planned starting date: | Planned completion date: |
| Person who will be overseeing this project: | |
| Supporting documentation: (check all that are Feasibility Study Business Plan Project Plan Project Budget Financial Statements (if application is for Resume of person overseeing this project Other Return to page 2 of the form. Make sure that | r an existing business) ct |



Resource Royalties Economic Development Assistance Program Business Plan Checklist



| | F | Prepared by: |
|--|---|--|
| Applicant | Consultant | Other |
| Name: Mailing Address: Email Address: Work Telephone: Home Telephone: Cell Phone: Fax: Consultant/Consulting | ng Firm (if applicable | e): |
| First Section | | |
| Cover Page: "Busi date prepared | ness Plan" Name of | applicant (e.g. individual, community or business), |
| Executive Summa | ry: name, type of bu | siness, purpose of funding assistance |
| Table of Contents | | |
| Narrative Section | | |
| Introduction: purpo | se of business plan | , name, location, type of business, business sector |
| Business descriptio | n (see next items) | |
| History/backgroun | d of the business/ap | plicant |
| Business ownersh | ip (individual, partne | ership, shareholders, community) |
| Business structure | , organization | |
| | personnel and labounclude resume/s in a | r force (explain why the key people will make this appendix) |
| Training requireme | ents (if required) | |
| Administration, fina and who looks afte | | nancial systems (describe how this is managed |
| Marketing plan: cu information) | stomers, competitio | n, advertising (provide: statistics, sources of |
| Facilities and equi | oment (provide deta | ils: layout, list of equipment and value |

Resource Royalties Economic Development Assistance Program Business Plan Checklist

| Production/processing (who, how, when) |
|--|
| Risk: potential problems and solutions (loss of income, increased costs, loss of key staff, can't find employees, competition taking away sales, loss of supplies) |
| Community impacts (see next items) |
| Job creation (jobs maintained and/or created, impact on social assistance) |
| Impact of the project on the community (e.g. revenue generation, long term jobs, role models, provision of services and effects on local economy) |
| Other significant information related to business (e.g. environmental screening and assessment, contingencies,) |
| Financial Section |
| Capital costs (land, buildings, equipment – provide supporting documents: tax records, appraisal documents, bill of sale, invoices, etc.) |
| Working capital (support with cash flow statements below) |
| Sources and Application of funds (show all sources of funds for this project (personal savings, shares, loans, grants, etc.) |
| Loan security (if applicable) |
| Projected financial statements: Income & Expenses, Cash Flow, Balance Sheet (this takes quite a bit of work and are standard parts of a business plan |
| Appendix (relevant supporting documents) |
| Financial statements (if existing business) |
| Letters of support (if community organization) |
| Resumes |
| Certifications (if relevant) |
| Appraisals, property tax documents, lot plans |
| Licences, permits, etc. |
| Terms of reference for consultants |
| Diagrams, sketches, photos |
| Description of equipment: quotes, leases, conditional sale |